



## BUSINESS CONTINUITY SUMMARY

Redbridge Securities LLC ("Redbridge") has developed a **Business Continuity Plan**, detailing our response to significant events that could disrupt our operations. Given the unpredictability of the timing and impact of disasters or disruptions, our approach must remain flexible, adapting to actual events as they unfold. This information provides a brief overview of our business continuity plan.

**Contacting Us** – In the event of a significant business disruption where you cannot contact Redbridge through our usual channels (website or mobile application), please reach out to us by email at [support@rbsecurities.com](mailto:support@rbsecurities.com), or by phone at +1-800-950-5266 or +86-400-707-1292. If these contact methods are not available, you can reach our clearing firm, Apex Clearing Corporation ("Apex") at +1-214-765-1001, or by emailing [helpdesk@apexclearing.com](mailto:helpdesk@apexclearing.com). Apex can provide instructions on how to promptly access your account funds and securities, assist with order entry, and process cash or securities transactions. Further information about Apex Clearing Corporation's Business Continuity Plan can be found at <https://apexfintechsolutions.com/legal/business-continuity-plan/>.

**The Business Continuity Plan** – Our plan prioritizes swift recovery and resumption of operations following a significant business disruption. It involves safeguarding our employees and property, assessing financial and operational impacts, protecting Redbridge's records, and ensuring customers can transact business. Essentially, our business continuity plan is geared towards enabling Redbridge to resume operations as swiftly as possible, relative to the scope and severity of the disruption.

The plan covers data backup and recovery, all mission-critical systems, financial and operational assessments, alternative communication methods with customers, employees, and regulators, alternative physical locations for employees, critical supplier, contractor, bank, and counter-party impact, regulatory reporting, and guarantees prompt customer access to funds and securities if Redbridge is unable to continue business operations.

**Varying Disruptions** – Disruptions can vary in scope, affecting only Redbridge, a single building housing our offices, the business district where we're located, the city, or even the entire region. The severity of the disruption can also vary from minimal to severe. For disruptions confined to Redbridge or our building, we plan to shift operations to a local site and expect to recover and resume business as soon as is practically possible. For disruptions affecting the larger business district, city, or region, we will relocate operations to a site outside the affected area, resuming business as soon as we can. In any case, our plan involves continuation of business, potential transfer of operations to our clearing firm if necessary, and notification to you via Redbridge's website and/or mobile application. If the disruption is so severe that it prevents us from continuing operations, we will ensure prompt customer access to funds and securities through our clearing firm.



For more information – If you have questions about our business continuity planning, feel free to contact us by email at [support@rbsecurities.com](mailto:support@rbsecurities.com), or by phone at +1-800-950-5266 or +86-400-707-1292.