



SAFEGUARDING YOUR PRIVACY CONSUMER NOTICE CUSTOMER IDENTIFICATION PROGRAM NOTICE CUSTOMER INQUIRY NOTICE

Redbridge Securities LLC (“Redbridge Securities”) and its affiliates, including Redbridge Finance LLC (“Redbridge Finance”), are committed to safeguarding the confidentiality and privacy of the information we maintain on all our clients. This notice describes how we manage and safeguard information about you, our individual client, who seeks or obtains financial products or services from us primarily for personal, family, or household purposes. It covers how we protect the information we have about our current and former individual clients.

When you create an account with Redbridge Securities, you provide certain information to us, including, but not limited to, your name, address, telephone number, email address, and personal financial information such as your income (“Personal Information”). We may disclose your Personal Information to our parent company, subsidiaries, affiliates, vendors, or other relevant third parties in connection with providing you the services we offer, such as creating an account with Redbridge Finance on your behalf.

Additionally, Redbridge Securities may share information with its affiliates and certain third parties that you have identified as having a commercial relationship with you and approve of sharing information. If your account is managed by, or associated with, a registered investment adviser, then Redbridge Securities may share any and all information regarding your account with your advisor on record. This website collects IP addresses or domain names anytime a web page is requested from our server. This information is used to analyze site traffic. The URL of a referring web page may also be logged for the same purpose.

Information provided by site visitors is collected to assist us in the performance of services offered by Redbridge Securities. If you send us an email, your email address will be displayed in the message that we receive. We use this information to reply to your inquiry, and we keep your email message for our records and future reference as required by regulation.

Frequently, users voluntarily provide nonpublic personal information to Redbridge Securities. If you provide your mailing address or email address to us online, you may receive periodic mailings or emails from us with information relating to the business and services of Redbridge Securities. Likewise, if you provide us with your telephone number online, you may receive telephone contact from us with information relating to the products and services of Redbridge Securities. The success of our business relationship with online clients depends on our ability to maintain the trust of our users. If you have any questions, please contact us.

Redbridge may disclose your personal information to nonaffiliated service providers who perform business functions on our behalf, which may include marketing of our own investment products and services, check printing, and data processing. Nonaffiliated third-party service providers often aid us in the efficient and effective delivery of services, and there may be circumstances where it is necessary to disclose nonpublic personal information Redbridge collects to such parties. However, before Redbridge discloses nonpublic personal information to a nonaffiliated party, we require them to agree to keep our investor information confidential and secure and to use it only as authorized by us. Also, Redbridge will only share your nonpublic information with nonaffiliated third parties under circumstances not covered by state or federal law “opt-out” notice exceptions, such as servicing a financial product or service authorized by the customer, resolving consumer disputes, and protecting



against potential fraud or unauthorized transactions. Should this policy ever change in the future, you will be given adequate notice and the option to “opt-out” of such disclosure.

Redbridge may also disclose the following information to companies that perform marketing services on our behalf or to other financial institutions with which Redbridge has joint marketing agreements:

- Information Redbridge receives from you on applications or other forms, such as your name, address, social security number, assets, and income.
- Information about your transactions with us, our affiliates, or others, such as your payment history, and parties to the transactions.
- Information Redbridge receives from a consumer reporting agency, such as your creditworthiness and credit history.

Redbridge requires all joint marketers to have written contracts with us that specify appropriate use of your personal information, require them to take steps to safeguard your personal information, and prohibit them from making unauthorized or unlawful use of your personal information. Redbridge does not share, sell, or rent your personal private information with outside marketers who may want to offer you their own products and services.

If you're an international user, please note that by providing your personal information, you are:

- Permitting the transfer of your personal information to the United States, which may not have the same data protection laws as the country in which you reside;
- Permitting the use of your personal information in accordance with this privacy policy.

If you are a current Redbridge Securities client and wish to opt out, you can do so by emailing support bbae@rbsecurities.com.