



SAFETY OF CUSTOMER ASSETS

Your Assets Belong to You

In compliance with the SEC's Customer Protection Rule, customer securities, such as stocks and bonds that are fully paid for or excess margin securities, **must be segregated from broker-dealer securities**. This is a legal requirement for all broker-dealers. In the unlikely event of the insolvency of a broker-dealer, these segregated assets are not available to general creditors and are protected against creditors' claims. Government regulators have put reporting and auditing requirements in place to help ensure that all broker-dealers comply with this rule. **Redbridge Securities is fully compliant with these customer segregation requirements.**

SIPC Account Protection

In 1970, the Securities Investor Protection Act was enacted to protect investments and increase investor confidence. The Securities Investor Protection Corporation (SIPC) is a nonprofit membership corporation that was created by that federal statute. Redbridge Securities' membership in SIPC protects customers if the firm fails financially.

If a firm fails, SIPC will typically ask a federal court to appoint a Trustee to liquidate the firm and protect its customers. For claims in excess of \$500,000, after customers receive securities registered in their name, the trustee then distributes the remaining assets, known as the customer property, back to all customers on a pro-rata basis. The trustee and SIPC will often arrange to have customer accounts transferred to another brokerage firm. Customers then have the option of staying at the new firm or moving to another firm of their choosing. As a member of SIPC, funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims.

SIPC does not cover certain types of investments such as commodity futures contracts, fixed annuity contracts, and foreign currency, or fluctuations in the market value of securities. For more information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

If you have additional questions or need help regarding your account, please email support@rbsecurities.com.

Brokerage Products | Not FDIC-Insured | No Bank Guarantee | May Lose Value